

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER
FORM PTO-1390 (REV. 12-2001)		HILL 102
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/049498
INTERNATIONAL APPLICATION NO. PCT/EP99/05995	INTERNATIONAL FILING DATE 16 August 1999 (16.08.99)	PRIORITY DATE CLAIMED 16 August 1999 (16.08.99)
TITLE OF INVENTION PASSIVATION METHOD FOR ZINC-NICKEL LAYERS		
APPLICANT(S) FOR DO/EO/US Ernst-Walter Hillebrand		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Form PCT/IB/308 is also enclosed.</p>		
EXPRESS MAIL NO. EL 845499353 US		

U.S. APPLICATION NO. (if known) 37 CFR 10/049498		INTERNATIONAL APPLICATION NO. PCT/EP99/05995	ATTORNEY'S DOCKET NUMBER HILL 102
21. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1040.00			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00			
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00			
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00			
ENTER APPROPRIATE BASIC FEE AMOUNT =			
\$ 890.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	6 - 20 =	0	x \$18.00 \$ 0.00
Independent claims	1. - 3 =	0	x \$84.00 \$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$280.00 \$	
TOTAL OF ABOVE CALCULATIONS =		\$ 890.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$ 445.00	
SUBTOTAL =		\$	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$	
TOTAL NATIONAL FEE =		\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$	
TOTAL FEES ENCLOSED =		\$ 445.00	
		Amount to be refunded:	\$
		charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ 445.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50/1039</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>			
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Gary W. McFarron Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. 200 West Adams Street - Suite 2850 Chicago, IL 60606 (312) 236-8500</p> <p> SIGNATURE</p> <p>Gary W. McFarron NAME</p> <p>27,357 REGISTRATION NUMBER</p>			

10/049498
IC13 Rec'd PCT/PTO 13 FEB 2002

PATENT
Attorney Docket No. HILL 102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Ernst-Walter Hillebrand

International Application No.:
PCT/EP99/05995

International Application Filing Date:
August 16, 1999

International Priority Date:
August 16, 1999

For: PASSIVATION METHOD FOR ZINC-
NICKEL LAYERS

CERTIFICATE OF MAILING
BY "EXPRESS MAIL"

Express Mailing Label : EL845499353US

Date of Deposit February 13, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box PCT, Commissioner for Patents, Washington, D.C. 20231

NAME ARMANDO CHING
(TYPED OR PRINTED)

SIGNATURE Armando Ching

DATE: February 13, 2002

BOX PCT
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF REQUEST FOR ENTRY INTO U.S. NATIONAL PHASE
UNDER 35 USC 371

Dear Sir:

Enclosed please find the following:

- 1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning the Filing Under 35 USC 371 (PTO Form 1390 with Page 2 in duplicate);
- 2) Preliminary Amendment;
- 3) English translation of the International Application PCT/EP99/05995;
- 4) A check in the amount of \$445.00; and

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5) Form PCT/1B/308.

Respectfully submitted,



Gary W. McFarron
Registration No.: 27,357

COOK, ALEX, McFARRON, MANZO
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